FINRA Services Port

Please email the completed form to subscriber@nasdaq.com or fax to +1 212 231 5426

Order Type

☐ Add   ☐ Removal   ☐ Modify

Quoting & Trade Reporting

Disaster Recovery (DR) Ports are automatically included with production ports except when indicated.
Please see additional information on NTF services on page 3 of this form.

TRACE trade reporting	ADF trade reporting, quoting	TRF Reporting	ORF reporting using new platform
FIX 4.4 SP Production/QTY ☐ NTF/QTY ☐ **FIX 4.4 CA** Production/QTY ☐ NTF/QTY ☐ **FIX 4.4 TS** Production/QTY ☐ NTF/QTY ☐	**FIX 4.4 Trades** Production/QTY ☐ NTF/QTY ☐ **FIX 4.4 Quotes** Production/QTY ☐ NTF/QTY ☐	**FINRA/ Nasdaq TRF Carteret** **FIX 4.2** Production/QTY ☐ NTF/QTY ☐ DR/QTY ☐ **FINRA/ Nasdaq TRF Chicago** **FIX 4.2** Production/QTY ☐ NTF/QTY ☐ DR/QTY ☐	**FIX 4.4 (ORF)** Production/QTY ☐ NTF/QTY ☐

Do you want to copy an existing account? If yes, please provide the session to copy and the details below can be omitted.	
Has this version of your front-end application been certified?	☐ Yes ☐ No

Trade Reporting: Drop Copy

☐ FIX 4.2 ACT (TRF Carteret)	☐ FIX 4.2 ACT (TRF Chicago)

☐ FIX 4.4 SP   ☐ FIX 4.4 CA   ☐ FIX 4.4 TS   ☐ FIX 4.4 ORF   ☐ FIX 4.4 ADF Trades

Please provide the MPID(s) you would like to drop.	
Please provide the Sendercomp(s) you would like to drop.	

Please provide TRF Port Outbound Timestamp Configuration.   ☐ Millisecond   ☐ Micro / Nanosecond

TRF Drop Copy Settings
Please select the messages you wish to receive on the drop session. (Check all that apply)

☐ TRF Acknowledgements	☐ TRF Alleges	☐ TRF Locks
☐ TRF Breaks	☐ TRF Cancels	☐ Decline Drop Copy
☐ TRF Sizable Trade	☐ TRF Blockbuster Trade	☐ TRF Clearing Updates
☐ TRF Give Up Notifications	☐ TRF Clearing Notifications	☐ TRF Errors

Removal Requests

Please provide the product and logon(s) for disconnect below: (Please select only one)

☐ FIX 4.4 TS FIX	☐ 4.2 ACT (TRF Carteret)	☐ FIX 4.4 ADF Quotes
☐ FIX 4.4 SP FIX	☐ 4.2 ACT (TRF Chicago)	☐ FIX 4.4 ADF Trade
☐ FIX 4.4 CA	☐ FIX 4.4 (ORF)	☐ Legacy FIX 4.2 (TRF Carteret)

Special Instructions

Contact Information

	Contact	Phone	Email
Order			
Technical			

Billing Address

Street			
Suite / Room #		City / State / Zip	
Phone		Email	

Order Authorization

Firm Name		MPID/Firm ID	
Authorized Contact: (Please Print)		Date	
Signature			