



NASDAQ OMX Market Maker Registration Form¹

A. Member Organization Information					
Full legal name of member organization:				CRD No.	
Name of individual completing registration form:					
Email address:			Phone:		
B. Marketplace					
Select the NASDAQ OMX marketplace(s) on which the member wishes to register as a market maker.					
NQX		BX		PSX	
C. Market Participant Identifier ("MPID")			D. Clearing Arrangement		
Please list the member's MPID(s): _____			Self-clearing Agreement with clearing agent		
(Primary) _____			Provide NSCC clearing account number _____		
E. Signature					
By signing this registration form, the member hereby agrees to comply with NASDAQ OMX Rules. It is advisable therefore, that the member familiarize itself with the appropriate marketplace rules, in particular, the NASDAQ and BX 4000 Series and PHLX 3000 Series, prior to engaging in market making activity.					
Authorized Signature:			Date:		
Print Name:			Title:		
F. List of Symbols					
Provide a list of symbols that member wishes to register in as a market maker.					
G. Registration Filing Instructions					
The completed Form may be submitted via email to tradedesk@nasdaqomx.com or via facsimile to +1 212 231 5426. All subsequent requests for changes to market maker registration (additions/deletions) may be communicated to NASDAQ via email or facsimile. Questions regarding market maker registration may be directed to the NASDAQ Trade Desk at +1 212 231 5100.					

¹ NASDAQ OMX may require additional information prior to the effectiveness of registration as a market maker.
Amended 4.26.13